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Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy them.

1. Name of conveying party(ies):

Philip Needleman, Kevin C. Glenn, Elaine Krul and
Edward P. Gamson

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Monsanto Company

Internal Address: _____

Street Address: 800 North Lindbergh Blvd.

City: St. Louis State: Missouri ZIP: 63167

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: February 7, and February 13, 1997

4. Application number(s) or patent number(s): 08/788,882

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Edward P. Gamson, Esq.

Internal Address: WELSH & KATZ, LTD.

Street Address: 120 South Riverside Plaza
22nd Floor

City: Chicago State: IL ZIP: 60606

6. Total number of applications and patents involved:

7. Total Fee (37 CFR 3.41) \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: 23-0920

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Edward P. Gamson, Esq.
Name of Person Signing


Signature

Date: April 15, 1997

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

Case No. MON-102.0
(6018/69242)

ASSIGNMENT

Return to:

Serial No. 08/788,882
Filed 01/21/97

J. Timothy Keane - O4E
Monsanto Company
800 N. Lindbergh Boulevard
St. Louis, Missouri 63167

WHEREAS, We, Philip Needleman, Kevin C. Glenn, Elaine Krul and Edward P. Gamson of 326 New Salem Drive, Creve Coeur, Missouri 63141, 1816 Pheasant Run Drive, Maryland Heights, Missouri 63043-2868, 594 Gederson Lane, Warson Woods, Missouri, 63122-1636 and 2823 Summit Avenue, Highland Park, Illinois, 60035 respectively, have invented certain improvements in AN IMMUNOLOGICAL PROCESS AND CONSTRUCTS FOR INCREASING THE HDL CHOLESTEROL CONCENTRATION, identified as MON-102.0, and described in a Patent Application by us on the dates set after our signatures hereto; and

WHEREAS, Monsanto Company, of St. Louis, Missouri, a Corporation of the State of Delaware, is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of Five Dollars (\$5.00), the receipt of which is hereby acknowledged, and other valuable consideration, we do hereby sell, assign and transfer unto said Monsanto Company, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any applications filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also any improvements on said invention or inventions now or hereafter made by us during the period of our employment; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention, inventions, or improvements and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention, inventions, or improvements in this or any foreign country; and we hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said Monsanto Company, as assignee of the entire interest.

We further agree, without any payment by Monsanto Company other than expenses incurred by the undersigned, to communicate to said Monsanto Company, its representatives or agents, any facts relating to said invention, inventions or improvements, including evidence for interference purposes or for other legal proceedings, whenever requested; testify in any interference or other legal proceedings, whenever requested; and execute and deliver, on

request, all lawful papers required to make any of the foregoing provisions effective.

IN TESTIMONY WHEREOF, we have hereunto set our hands and seals on the dates set after our signatures.

(L.S.) *Philip Needleman*, February 7, 1997
Philip Needleman

(L.S.) *Kevin C. Glenn*, February 13, 1997
Kevin C. Glenn

(L.S.) *Elaine S. Krul*, February 13, 1997
Elaine Krul

(L.S.) *Edward P. Gamson*, February 7, 1997
Edward P. Gamson

State of Missouri)
County of St. Louis) ss.

On this 7th day of February, 1997, before me personally appeared Philip Needleman, ~~Kevin C. Glenn~~, ~~Elaine Krul~~ and Edward P. Gamson to me known to be the persons described in and who executed the foregoing instrument, and acknowledged that they executed the same as their free act and deed. In testimony whereof I have hereunto set my hand and official seal the day and year last above written.

Karol J. Wilder
Notary Public

My Commission expires 9/25/98

KAROL J WILDER
NOTARY PUBLIC — NOTARY SEAL
STATE OF MISSOURI
ST. LOUIS COUNTY
MY COMMISSION EXP. SEPT 25, 1998

On this 13th day of February, 1997, before me personally appeared Kevin C. Glenn and Elaine Krul to me known to be the persons described in and who executed the foregoing instrument, and acknowledged that they executed the same as their free act and deed. In testimony whereof I have hereunto set my hand and official seal the day and year last written above.

Karol J. Wilder
Notary Public

My COMMISSION EXPIRES
KAROL J WILDER
NOTARY PUBLIC — NOTARY SEAL
STATE OF MISSOURI
ST. LOUIS COUNTY
MY COMMISSION EXP. SEPT 25, 1998